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# TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35.U.S.C. 371

EXPRESS MAIL LABEL No. EF321689368US

May 4, 2001

ATTORNEY'S DOCKET NO.

32282-PCT-USA (070050.1525)

U.S. APPLICATION 9 / 831215

INTERNATIONAL APPLICATION NO PCT/US99/26127

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INTERNATIONAL FILING DATE 5 November 1999

PRIORITY DATE CLAIMED 6 November 1998

TITLE OF INVENTION

## IMAGE DESCRIPTION SYSTEM AND METHOD

APPLICANT(S) FOR DO/EO/US

PAEK, Seungyup, BENITEZ, Ana, CHANG, Shih-Fu, SMITH, John R., BERGMAN, Lawrence D., HUANG, Qian, JUDICE, Charlie

Applicant herewith submits to the United States Designated /Elected Office (DO/EO/US) the following items and other information:

- 1. [X] This is a FIRST submission of items concerning a filing under 35 U.S.C. 371.
- 2. [] This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371.
- 3. [] This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(I).
- 4. [X] A proper Demand for International Preliminary Examination was made by the 19<sup>th</sup> month from the earliest claimed priority date.
- 5. [X] A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. [X] is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] has been transmitted by the International Bureau.
  - c. [] is not required, as the application was filed in the United States Receiving Office (RO/US).
- 6. [] A translation of the International Application into English (35 U.S.C. 371(c)(2)).
- 7. [X] A copy of the International Search Report (PCT/ISA/210)
  - a. [X] are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. [] have been transmitted by the International Bureau
  - c. [] have not been made; however, the time limit for making such amendments has NOT expired.
  - d. [] have not been made and will not be made.
- 8. [] A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
- 9. [] An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
- 10. [] A translation of the annexes to the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

### Items 11. to 16. below concern other document(s) or information included:

- 11. [X] A copy of the International Preliminary Examination Report (PCT/IPEA/409)
- 12. [X] An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
- 13. [] A FIRST preliminary amendment.
  - [ ] A SECOND or SUBSEQUENT preliminary amendment.
- 14. [] •A substitute specification.
- 15. [] A change of power of attorney and/or address letter.
- 16. [X] Other items or information:
  - a. [X] a copy of the International Search Report (PCT/ISA/210)
  - b. [X] a copy of the International Preliminary Examination Report (PCT/IPEA/409)
  - c. [X] PCT application No. PCT/US99/26127 was published in English under publication number WO 00/28467 on May 18. 2000.

Communication Requesting Change of Applicant Name, Check for \$1221.00, Postcard

PCT/US946027 831215.	INTERNATIONAL FILING DATE 5 November 1999			PRIORITY DATE CLAIMED 6 November 1998	
17. [X] The following fees are submitted:  Basic National Fee (37 CFR 1.492(a)(1)-(5):				CALCULATIONS PTO USE ONLY	
Neither international preliminary examina					
Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO (1.492(a)(3)) \$1,000.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO (1.492(a)(5) \$860.00					
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.445(a)(2)) paid to USPTO(1.492(a)(2)) \$710.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) but all claims did not satisfy provisions of PCT Article 33(1)-(4) (1.492(a)(1)) \$690.00					
International preliminary examination fee paid to USPTO (37 CFR 1.482) and all claims satisfied provisions of PCT Article 33(1)-(4) \$ 100.00					
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$690.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than [] 20 [] 30 months from the earliest claimed priority date (37 C.F.R. 1.492)(e)).					
Claims	Number Filed	Number Extra	Rate	\$	
Total Claims	64 -20=	44	X \$ 18.00	\$792.00	
Independent Claims	3 -3=	0	X \$ 80.00	\$0.00	
Multiple dependent claim(s) (if applicable) + \$270.00				\$270.00	
TOTAL OF ABOVE CALCULATIONS =				\$1,062.00	
Reduction by ½ for filing by small entity	, if applicab	le			
				\$531.00	
				\$531.00	
Processing fee of \$130.00 for furnishing the English translation later than [] 20 [] 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1221.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property				\$	
				\$1221.00	
				Amt. refunded	\$
				charged	\$
a. [X] A check in the amount of \$1221.00 to cover the above fees is enclosed.					
b. [] Please charge our Deposit Acco	unt No. <u>02-</u>	4377 in amount of \$	to cover the a	bove fees. A copy of	this sheet is enclosed.
c. [X] The Commissioner is hereby au Deposit Account No. <u>02-4377</u> . A cop		<del>-</del> •	fees which may b	e required, or credit a	ny overpayment to
NOTE: Where an appropriate time lii	•		5 has not been m	et, a petition to revi	ve (37 CFR 1.137(a) or
(b)) must be filed and granted to restor					
SEND ALL CORRESPONDENCE TO:				/_	
BAKER BOTTS L.L.P.				Paul A. Ragusa	
30 Rockefeller Plaza New York, New York 10112-4498					
A TOTAL TOTAL TOTAL TOTAL				May 4, 2001	
				Date	
				38,587	
				Registration No.	

# IN THE INTERNATIONAL BUREAU OF WIPO

## PCT RECEIVING OFFICE

**Applicants** 

The Trustees of Columbia University in the

City of New York et al.

International Application No.

PCT/US99/26127

International Filing Date

5 November 1999

Title of Invention

IMAGE DESCRIPTION SYSTEM AND

**METHOD** 

VIA FACSIMILE (41-22) 910 0610 and FEDERAL EXPRESS

418837173423

RFCEIVED

**COMMUNICATION** 

REQUESTING CHANGE OF APPLICANT NAME

1 0 AUG 2001 Legai staff

International Division

International Bureau of WIPO 34, chemin des Colombettes 1211 Geneva 20 Switzerland

Sir or Madam:

Under Rule 92<sup>bis</sup>, Applicants respectfully request that the named applicant Eastman Kodak Corporation and the named applicant/inventor Judice, Charlie be removed as applicant and applicant/inventor, respectively, in the above-referenced international application. Eastman Kodak Corporation and Judice, Charlie were erroneously added as applicant and applicant/inventor, respectively, upon filing the international application.

Moreover, Applicants respectfully request that Huang, Lian, of AT&T, AT&T Labs, 100 Shultz Drive-Middletown, Redbank, NJ 07701, U.S.A., be added as an

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applicant/inventor in the above-identified international application. The applicant/inventor Huang, Lian was inadvertently omitted upon filing of the international application.

Applicants respectfully request that the above-mentioned changes in the named applicant and applicants/inventors be made of record, and that the International Bureau notify the elected states of these changes.

Respectfully submitted,

Wall-

BAKER BOTTS, LLP

Dated: April 24, 2001

Henry Tang

U.S. Reg. No. 29,705

Paul A. Ragusa

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